



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT MARK VAN ROON

TITLE COMPUTER BASED MATCHING SYSTEM FOR  
PARTY AND COUNTERPARTY EXCHANGES

INTERNATIONAL  
FILING DATE 13 March 2000

INTERNATIONAL  
APPLICATION NO. PCT/GB00/00909

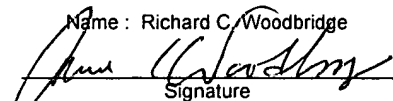
US SERIAL NO. 09/936,366

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202

Date of Deposit : Nov. 30, 2001

Name : Richard C. Woodbridge

  
Signature

Date of Signature : Nov. 30, 2001

ASSISTANT COMMISSIONER OF PATENTS  
WASHINGTON, D.C. 20231

RESPONSE TO  
NOTIFICATION OF  
MISSING REQUIREMENTS

Dear Sir:

In accordance with the Notification of Missing Requirements mailed November 15, 2001,  
enclosed are the following:

1. Original Declaration and Power of Attorney, consisting of three (3) pages, signed by the inventor. An unsigned Declaration was submitted with the filing of this application. Attorney for

Applicant states that the above referenced application filed with the U.S. Patent and Trademark Office on September 11, 2001 is the application that the inventor executed by signing the attached declaration.

2. Check in the amount of \$65.00 to cover the surcharge for the late filing of a Declaration.

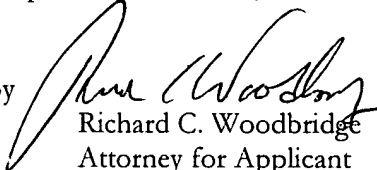
3. Copy of Notification of Missing Requirements, Confirmation No. 4195.

4. Also enclosed is an original Assignment, executed by the inventor, together with our check in the amount of \$40.00 to cover the recordal fee, and a Recordation Form Cover Sheet.

Request is hereby made to accept the enclosed documents and have them made a part of applicant's file.

If any additional filing fees are deemed to be appropriate, please charge our Deposit Account No. 23-3040.

Respectfully submitted,

By   
Richard C. Woodbridge  
Attorney for Applicant  
Reg. No. 26,423

Woodbridge & Associates, P.C.  
P.O. Box 592  
Princeton, NJ 08542-0592

Tel (609) 924-3773  
Fax (609) 924-1811

.12/10/2001 LLANDGRA 00000100 09936366

01 FC:254

65.00 DP



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT  
 United States Patent and Trademark Office  
 Washington, D.C. 20231  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/936,366	Mark Van Roon	5035-113US

INTERNATIONAL APPLICATION NO.	
PCT/GB00/00909	
I.A. FILING DATE	PRIORITY DATE
03/13/2000	03/12/1999

Richard C Woodbridge  
 Woodbridge & Associates  
 PO Box 592  
 Princeton, NJ 08542-0592



CONFIRMATION NO. 4195

371 FORMALITIES LETTER



\*OC000000007068613\*

Date Mailed: 11/15/2001

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Indication of Small Entity Status
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Preliminary Amendments
- Request for Immediate Examination
- Small Entity Statement

NOV 26 2001

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$65 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions

of 37 CFR 1.136(a).

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$65 for a Small Entity:

- \$65 Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

PATRICIA A BOOKER

Telephone: (703) 305-3738

~~PART 1 - ATTORNEY/APPLICANT COPY~~

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/936,366	PCT/GB00/00909	5035-113US